Substitute for form 1449/PTO			)	Complete	e if Known
EOLID	TUC	IDDI	EMENITAL	Application Number	10/075,194
FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE				Filing Date	February 12, 2002
				First Named Inventor	Klimenty VAINSTEIN
STATEMENT BY APPLICANT (Use as many sheets as necessary)		Art Unit	2135		
		Examiner Name	Klimach, Paula W.		
Sheet	1	of	12	Attorney Docket Number	2222.5390003

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Examiner Cite		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,	
Initials*	No.1	Number-Kind Code <sup>2 (ff Known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	
	US1	4,203,166	05-13-1980	Eshram et al.		
	US2	4,734,568	03-29-1988	Watanabe		
	US3	4,796,220	01-01-1989	Wolfe		
,	US4	4,827,508	05-02-1989	Shear		
	US5	4,888,800	12-19-1989	Marshall et al.		
	US6	4,972,472	11-20-1990	Brown et al.		
	US7	5,032,979	07-16-1991	Hecht et al.		
	US8	5,144,660	09-01-1992	Rose		
	US9	5,204,897	04-20-1993	Wyman		
	US10	5,301,247	04-05-1994	Rasmussen et al.		
	US11	5,319,705	06-07-1994	Halter et al.		
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	US15	5,671,412	09-23-1997	Christiano		
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	US17	5,720,033	02-17-1998	Deo		
	US18	5,748,736	05-05-1998	Mittra		
	US19	5,757,920	05-26-1998	Misra et al.		
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		Fo	REIGN PATENT DO	CUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where	
		Country Code <sup>2</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)			Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	FP1	EP 0 950 941 A3	10-20-1999	Fujitsu Limited		
	FP2	EP 1 107504 A2	06-13-2001	Benayoun et al.		
	FP3	WO 01/63387 A2	08-30-2001	Visualgold.com, Inc.		
	FP4					
	FP5					
	FP6					
	FP7					
	FP8					
	FP9					

Examiner Signature /Yogesh Paliwal/	Date Considered	04/22/2008
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EOUD	TU C	HODE	EMENTAL	Application Number	10/075,194
	FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Filing Date	February 12, 2002
				First Named Inventor	Klimenty VAINSTEIN
				Art Unit	2135
(Use as many sheets as necessary)			ets as necessary)	Examiner Name	Klimach, Paula W.
Sheet	2	of	12	Attorney Docket Number	2222.5390003

			U.S. PATENT DO	CUMENTS	
Examiner Cite		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,
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	US21	5,778,065	07-07-1998	Hauser et al.	
	US22	5,790,789	08-04-1998	Suarez	
	US23	5,835,592	11-10-1998	Chang et al.	
	US24	5,857,189	01-05-1999	Riddle	
	US25	5,870,468	02-09-1999	Harrison	
	US26	5,881,287	03-09-1999	Mast	
	US27	5,893,084	04-06-1999	Morgan et al.	
	US28	5,922,073	07-13-1999	Shimada	
	US29	5,944,794	08-31-1999	Okamoto et al.	
	US30	5,953,419	09-14-1996	Lohstroh et al.	
	US31	5,968,177	10-19-1999	Batten-Carew et al.	
	US32	5,991,879	11-23-1999	Still	
	US33	6,023,506	02-08-2000	Ote et al.	
	US34	6,032,216	02-29-2000	Schmuck et al.	
	US35	6,038,322	03-14-2000	Harkins	
	US36	6,055,314	04-25-2000	Spies et al.	
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	US39	6,088,805	07-11-2000	Davis et al.	
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	FP10					<u> </u>
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Examiner Signature	/Yogesh Paliwal/	Date Considered	04/22/2008			
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	FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Filing Date	February 12, 2002
				First Named Inventor	Klimenty VAINSTEIN
STAT				Art Unit	2135
(Use as many sheets as necessary)			ets as necessary)	Examiner Name	Klimach, Paula W.
Sheet	3	of	12	Attorney Docket Number	2222.5390003

			U.S. PATENT DO	CUMENTS		
Examiner Cite		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,	
Initials*	No.1	Number-Kind Code <sup>2 (If Known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	
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	US42	6,145,084	11-07-2000	Zuili	-	
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	US47	6,263,348 B1	07-17-2001	Kathrow et al.		
	US48	6,292,899 B1	09-18-2001	McBride		
	US49	6,295,361 B1	09-25-2001	Kadansky et al.		
	US50	6,301,614 B1	10-09-2001	Najork et al.		
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	US52	6,341,164 B1	01-22-2002	Dilkie et al.		
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	US56	6,381,698 B1	04-30-2002	Devanbu et al.		
	US57	6,389,433 B1	05-14-2002	Bolosky et al.		
	US58	6,405,315 B1	06-11-2002	Burns et al.		
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			Foreign Patent Do	CUMENTS		
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	FP19					
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	FP27					

Examiner Signature	/Yogesh Paliwal/	Date Considered	04/22/2008			
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Substitute 1	Substitute for form 1449/PTO			Complet	Complete if Known		
FOURTH SUPPLEMENTAL			EMENTAL	Application Number	10/075,194		
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Filing Date	February 12, 2002		
				First Named Inventor	Klimenty VAINSTEIN		
· ·				Art Unit	2135		
(Use as many sheets as necessary)			ets as necessary)	Examiner Name	Klimach, Paula W.		
Sheet	4	of	12	Attorney Docket Number	2222.5390003		

			U.S. PATENT DO	CUMENTS	
Examiner Initials	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages
muais	140.	Number-Kind Code <sup>2 (If Known)</sup>	WINI-DD-1111	Applicant of Clad Document	or Relevant Figures Appear
	US61	6,453,353 B1	09-17-2002	Win et al.	
	US62	6,466,932 B1	10-15-2002	Dennis et al.	
	US63	6,477,544 B1	11-05-2002	Bolosky et al.	
	US64	6,510,349 B1	01-21-2003	Schnek et al.	
	US65	6,530,020 B1	03-04-2003	Aoki	
	US66	6,530,024 B1	03-04-2003	Proctor	
	US67	6,550,011 B1	04-15-2003	Sims	
	US68	6,567,914 B1	05-20-2003	Just et al.	
	US69	6,571,291 B1	05-27-2007	Chow	
	US70	6,588,673 B1	07-08-2003	Chan et al.	
	US71	6,598,161 B1	07-22-2003	Kluttz et al.	
	US72	6,603,857 B1	08-05-2003	Batten-Carew et al.	
	US73	6,615,349 B1	09-02-2003	Hair	
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	US77	6,640,307 B2	10-28-2003	Viets et al.	
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	US79	6,647,388 B2	11-11-2003	Numao et al.	
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			FOREIGN PATENT DO	CUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	FP28					
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ALL! REPERENCESSOONS! DEFET 000-770-01991-01

Substitute	for form	1449/PT	Ö	Complete	Complete if Known		
FOURTH SUPPLEMENTAL			EMENTAL	Application Number	10/075,194		
				Filing Date	February 12, 2002		
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT			First Named inventor	Klimenty VAINSTEIN		
STAT				Art Unit	2135		
	(Use as many sheets as necessary)		Examiner Name	Klimach, Paula W.			
Sheet	5	of	12	Attorney Docket Number	2222.5390003		

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Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,			
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	US82	6,738,908 B1	05-18-2004	Bonn et al.				
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·	US97	6,931,450 B2	08-16-2005	Howard et al.				
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	FP37					
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	Examiner Signature	/Yogesh Paliwal/	Date Considered	04/22/2008
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(Use as many sheets as necessary)	Examiner Name	Klimach, Paula W.
Sheet 6 of 12	Attorney Docket Number	2222.5390003

			U.S. PATENT DO		<u> </u>
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	US104	6,947,556 B1	09-20-2005	Matyas, Jr. et al.	
	US105	6,950,818 B1	09-27-2005	Dennis et al.	
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	US107	6,952,780 B2	10-04-2005	Olsen et al.	
	US108	6,957,261 B1	10-18-2005	Lortz	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>8</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO Complete if Known Application Number 10/075,194 **FOURTH SUPPLEMENTAL** February 12, 2002 Filing Date INFORMATION DISCLOSURE First Named Inventor Klimenty VAINSTEIN STATEMENT BY APPLICANT Art Unit 2135 (Use as many sheets as necessary) **Examiner Name** Klimach, Paula W. 2222.5390003 Sheet Attorney Docket Number of 12

Examiner Cite		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,
Initials*	No.1	Number-Kind Code <sup>2 (If Known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear
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FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE				Application Number	10/075,194	
				Filing Date	February 12, 2002	
				First Named Inventor	Klimenty VAINSTEIN	
			APPLICANT	Art Unit	2135	
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Sheet	8	of	12	Attorney Docket Number	2222.5390003	

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(Use as many sheets as necessary)		Examiner Name	Klimach, Paula W.				
Sheet	9	of	12	Attorney Docket Number	2222.5390003		

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				Filing Date	February 12, 2002
			DISCLOSURE	First Named Inventor	Klimenty VAINSTEIN
STATI			APPLICANT	Art Unit	2135
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Sheet	10	of	12	Attorney Docket Number	2222.5390003

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EOUB	ти е	LIDDI	ENSENTAL	Application Number	10/075,194		
FOURTH SUPPLEMENTAL				Filing Date	February 12, 2002		
			DISCLOSURE	First Named Inventor	Klimenty VAINSTEIN		
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Sheet	11	of	12	Attorney Docket Number	2222.5390003		

			U.S. PATENT DO		
Examiner Cit Initials No	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,
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Substitute	for form	1449/PT	<b>o</b>	Complete	if Known
FOLIE	тис	HDDI	.EMENTAL	Application Number	10/075,194
	–			Filing Date	February 12, 2002
1			DISCLOSURE	First Named Inventor	Klimenty VAINSTEIN
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Sheet	12	of	12	Attorney Docket Number	2222.5390003

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initiais	NO.	Number-Kind Code <sup>2 (If Known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear			
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Sheet	1	of	5	Attorney Docket Number	2222.5390003		

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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
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Signature		Considered	04/22/2000

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Substitute for form 1449/PTO					Complete if Known			
FOURTH SUPPLEMENTAL					Application Number	10/075,194		
					Filing Date	February 12, 2002		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)					First Named Inventor	Klimenty VAINSTEIN		
					Art Unit	2135		
					Examiner Name	Klimach, Paula W.		
Sheet	2	of	5		Attorney Docket Number	2222.5390003		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T <sup>2</sup>
	NPL11	Rossmann, Alain, "Hybrid systems for securing digital assets," U.S. Patent Application No. 10/325,013, filed 12/20/2002.	
	NPL12	A Real-Time Push-Pull Communications Model for Distributed Real-Time and Multimedia Systems, January 1999, School of Computer Sciences Carnegie Mellon University, Kanaka Juvva, Raj Rajkumar.	
	NPL13	U.S. patent application, Ser No. 10/889,685, entitled "Method and Apparatus for Controlling the Speed Ranges of a Machine" inventor Thomas, July 13, 2004, 18 pgs.	
	U.S. patent application, Ser No. 10/028,397, entitled "Method and system for restricting use of a clipboard application," inventor Zuili, December 21, 2001, 38 pgs.		
	NPL15	U.S. patent application, Ser No. 10/368,277, entitled "Method and apparatus for uniquely identifying files," inventor Ouye, February 18, 2003, 25 pgs.	
	NPL16	U.S. patent application, Ser No. 10/327,320, entitled "Security system with staging capabilities" inventor Vainstein, December 20, 2002, 39 pgs.	
	NPL17	U.S. patent application, Ser No. 10/286,524, entitled "Security system that uses indirect password-based encryption," inventor Gutnik, November 1, 2002, 38 pgs.	
	NPL18	U.S. patent application, Ser No. 10/242,185, entitled "Method and system for protecting encrypted files transmitted over a network" inventor Ryan, September 11, 2002, 33 pgs.	
	NPL19	U.S. patent application, Ser No. 10/642,041, entitled "Method and system for fault-tolerant transfer of files across a network" inventor Kenrich, August 15, 2003, 32 pgs.	
	NPL20	U.S. patent application, Ser No. 10/610,832, entitled "Method and system for enabling users of a group shared across multiple file security systems to access secured files " inventor Ryan, June 30, 2003, 33 pgs.	

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Signature	/Yogesh Paliwal/	Considered	04/22/2008

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FOURTH	J GLIDDI	E 8.7E	ENITAL	Application Number	10/075,194		
				Filing Date	February 12, 2002		
			LOSURE	First Named Inventor	Klimenty VAINSTEIN		
	STATEMENT BY APPLICANT (Use as many sheets as necessary)			Art Unit	2135		
,				Examiner Name	Klimach, Paula W.		
Sheet	3	of	5	Attorney Docket Number	2222.5390003		

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	NPL21	U.S. patent application, Ser No. 10/448,806, entitled "Method and System for Using Remote Headers to Secure Electronic Files" inventor Ryan, May 30, 2003, 35 pgs.	
	NPL22	U.S. patent application, Ser No. 10/074,194, entitled "Methods for idnetifying compunds that inhibit or reduce PTP1B expressions" inventor Rondinone, February 12, 2002, 69 pgs.	
	NPL23	U.S. patent application, Ser No. 10/074,804, entitled "Secured Data Format for Access Control," inventor Garcia, February 12, 2002, 108 pgs.	
	NPL24	U.S. patent application, Ser No. 10/074,996, entitled "Method and Apparatus for Securing Electronic Data," inventor Lee et al., February 12, 2002, 111 pgs.	:
	NPL25	U.S. patent application, Ser No. 10/074,825, entitled "Method and Apparatus for Accessing Secured Electronic Data Off-line," inventor Lee et al., February 12, 2002, 108 pgs.	
	NPL26	U.S. patent application, Ser No. 10/105,532, entitled "System and Method for Providing Different Levels of Key Security for Controlling Access to Secured Items," inventor Hildebrand et al., March 20, 2002, 86 pgs.	
	NPL27	U.S. patent application, Ser No. 10/186,203, entitled "Method and System for Implementing Changes to Security Policies in a Distributed Security System," inventor Huang, June 26, 2002, 65 pgs.	
	NPL28	U.S. patent application, Ser No. 10/201,756, entitled "Managing Secured Files in Designated Locations," inventor Alain, July 22, 2002, 121 pgs.	
	NPL29	U.S. patent application, Ser No. 10/206,737, entitled "Method and System for Updating Keys in a Distributed Security System," inventor Hildebrand, July 26, 2002, 60 pgs.	:
	NPL30	U.S. patent application, Ser No. 10/246,079, entitled "Security System for Generating Keys from Access rules in a Decentralized Manner and Methods Therefor," inventor Hildebrand, September 17, 2002, 78 pgs.	i

Examiner Signature	/Yogesh Paliwal/	Date Considered	04/22/2008

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EOUBTH S	IIDDI		ENIT A I	Application Number	10/075,194	
FOURTH SUPPLEMENTAL				Filing Date	February 12, 2002	
INFORMATION DISCLOSURE				First Named Inventor	Klimenty VAINSTEIN	
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			s necessary)	Examiner Name	Klimach, Paula W.	
Sheet	4	of	5	Attorney Docket Number	2222.5390003	

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	NPL31	U.S. patent application, Ser No. 10/259,075, entitled "Effectuating Access Policy Changes to Designated Places for Secured Files," inventor Crocker, September 27, 2002, 60 pgs.	i
	NPL32	U.S. patent application, Ser No. 10/286,575, entitled "Method and Architecture for Providing Access to Secured Data from Non-Secured Clients," inventor Vainstein, November 1, 2002, 46 pgs.	
	NPL33	U.S. patent application, Ser No. 10/295,363, entitled "Security System Using Indirect Key Generation from Access Rules and Methods Therefor," inventor Vainstein, November 15, 2002, 70 pgs.	
	NPL34	U.S. patent application, Ser No. 11/889,310, entitled "Methods and Systems for Providing Access Control to Electronic Data," inventor Rossmann, August 10, 2007, 90 pgs.	
	NPL35	U.S. patent application, Ser No. 11/797,367, entitled "Method and System for Managing Security Tiers," inventor Vainstein, May 2, 2007, 11 pgs.	
	NPL36	Adobe Acrobat 5.0 Classroom in a Book, Adobe Press, 26 June 2001, pp.1-4.	
	NPL37	Adobe Acrobat Security Settings, Acrobat 7.0, 15 Nov 2004, pp.1-4.	
	NPL38	"Security Options". December 20, 2001. DC & Co. pages 1-2	
	NPL39	Microsoft Press Computer Dictionary, 1997, Microsoft Press, Third Edition, page 426.	
	NPL40	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8530, 2 pages.	

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FOURT	u eunn		ENITAL	Application Number	10/075,194	
FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE				Filing Date	February 12, 2002	
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Sheet	5	of	5	Attorney Docket Number	2222.5390003	

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	NPL41	Search Report, completion date October 2, 2003, for European Patent Application No. EP 02 25 8531, 2 pages.	
	NPL42	Search Report, completion date April 14, 2005, for European Patent Application No. EP 02 25 8533, 2 pages.	
	NPL43	Search Report, completion date March 16, 2005, for European Patent Application No. EP 02 25 8534, 2 pages.	
	NPL44	Search Report, completion date March 2, 2005, for European Patent Application No. EP 02 25 8535, 2 pages.	
	NPL45	Search Report, completion date March 3, 2005, for European Patent Application No. EP 02 25 8537, 2 pages.	
	NPL46	Search Report, completion date May 12, 2005, for European Patent Application No. EP 02 25 8539, 2 pages.	
	NPL47	Search Report, completion date July 6, 2005, for European Patent Application No. EP 02 25 8529, 4 pages.	
	NPL48	Search Report, completion date October 8, 2003, for European Patent Application No. EP 02 25 8536, 2 pages.	
	NPL49	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8540, 2 pages.	
	NPL50		

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